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Process for SPIL Formulation under the Workforce Innovation and Opportunity Act (WIOA)

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What the Law Says

- The State Plan for Independent Living (Sec. 704)
- Joint Development
 - By the SILC and CILs in the State
 - After public input from individuals with disabilities
- Signatures
 - Chairperson of the SILC, on behalf of the SILC
 - Director of DSE
 - Not less than 51% of directors of the CILs in the state

What the Law Says cont'd.

- Periodic Review and Revision
 - Not less than every 3 years
 - Needs in the State for:
 - Provision of IL services
 - Development and support of statewide network of CILs
 - Working relationships & collaboration between CILs, programs that provide IL services, other community-based organizations and entities
- Date of Submission
 - Have to submit 90 days before completion date of the preceding plan (next SPIL due June 30, 2016)

What the Law Says cont'd. 2

- Statewideness
 - Strategies for providing IL services on a statewide basis to the greatest extent possible
- SILC
 - Provide for establishment of SILC
 - Plan for funding administrative costs of SILC (SILC Resource Plan)
- Designation of State Entity
 - “The plan shall designate a State entity of such State (referred to in this title as the ‘designated state entity’) – as the agency that, on behalf of the State, shall –

What the Law Says cont'd. 3

- a) Receive, account for, and disburse funds received by the State under this chapter based on the plan;
- b) Provide administrative support services for a program under part B, and a program under part C in a case in which the program is administered by the State under section 723;
- c) Keep such records and afford such access to such records as the Administrator (of ACL) finds to be necessary with respect to the programs;
- d) Submit such additional information or provide such assurances as the Administrator may require with respect to the programs; and
- e) Retain not more than 5% of the funds received by the State for any fiscal year under Part B for the performance of the services outlined in paragraphs (1) through (4)."

What the Law Says cont'd. 4

- How will the DSE indicate agreement to fulfill these responsibilities?

What the Law Says cont'd. 5

- Objectives
 - Specify objectives to be achieved under the Plan
 - Establish timelines for achievement of the objectives
 - Explain how the objectives are consistent with and further the purpose of this chapter
- IL Services
 - IL services will be provided
 - To individuals with significant disabilities
 - Consistent with the Plan

What the Law Says cont'd. 6

- Scope & Arrangements (of IL Services)
 - What services will be provided
 - How services will be provided (by whom)
- Network (of CILs that comply with Sec. 725)
 - Set forth a design for the establishment of a statewide network of centers for independent living that comply with the standards and assurances set forth in section 725

What the Law Says cont'd. 7

- 722 vs. 723
 - Is State a 722 or 723 State?
 - If a 723 State (see Sec. 723) –
 - Include policies, practices, and procedures governing:
 - the awarding of grants to CILs
 - oversight of CILs

What the Law Says cont'd. 8

- Cooperation, Coordination, and Working Relationships Among Various Entities
 - Steps that will be taken to maximize such among:
 - The SILC
 - The CILs
 - The DSE
 - Other
 - state agencies or entities represented on the SILC
 - other councils that address the needs and issues of specific disability populations
 - other public & private entities determined appropriate by the SILC

What the Law Says cont'd. 9

- Coordination of Services
 - How services funded under the plan will be coordinated with (and complement) other services to avoid unnecessary duplication with other programs:
 - Federal
 - State
 - Local
- Coordination Between Federal and State Sources (of funding)
 - Describe efforts to coordinate Federal and State funding for:
 - CILs
 - IL Services

What the Law Says cont'd. 10

- Outreach
 - Set forth steps to be taken to outreach to:
 - Unserved populations
 - Underserved populations
 - Including minority groups, urban, and rural populations
- Requirements for service providers

What the Law Says cont'd. 11

- Evaluation
 - Method for periodic evaluation of
 - Effectiveness of plan in meeting objectives
 - Consumer satisfaction
- Promoting Full Access to Community Life

What the Law Says cont'd. 12

- SILC Role in SPIL Development (Sec. 705 (c))
 - Duties
 - Authorities
 - Limitation
- Develop the SPIL Jointly with CILs
- Monitor, review, and evaluate the implementation of the SPIL
- Submit reports to the Administrator

QUESTIONS???

Steps to Formulate the SPIL

- Tasks that must be accomplished
- Prioritize Tasks
- Establish TimeLine for completing tasks
 - Work backward from the submission deadline
 - Allow adequate time for each step

Steps to Formulate the SPIL cont'd.

- Identify who will develop the SPIL (the SPIL Team)
 - SILC Chairperson
 - Other SILC members
 - CIL Directors
 - Other CIL representatives (staff, board, consumers)
 - SILC director/staff?
 - Should the DSE be included?*

- *See ACL guidance "Program Information: ILA PI-15-01 at <http://www.ilru.org/resources-wioa-implementation> on "Selection of the Designated State Entity (DSE)"

Steps to Formulate the SPIL cont'd. 2

- Identify the DSE
 - What process will be used to:
 - Evaluate potential DSEs?
 - Negotiate with potential DSEs?
 - Research State requirements for establishing the DSE
 - Reach agreement

Steps to Formulate the SPIL cont'd. 3

- Solicit Public Input
 - Identify method for soliciting public input
 - Surveys
 - Focus groups
 - Public forums
 - Facilitated public meetings
 - Other?
 - Compile all public input
 - Provide all input received to all involved in the development of the SPIL

Steps to Formulate the SPIL cont'd. 4

- Draft the SPIL
 - Identify all tasks to be completed
 - Divide the work among the team

Develop the Content of the SPIL

- What do you want to accomplish (Objectives)
 - Be specific
 - Make sure objectives are measurable
 - Include indicators of progress and/or achievement

Develop the Content of the SPIL cont'd.

- What services will be provided?
 - What services are currently being provided?
 - Consider unmet needs
 - Listen to public input
 - What can you realistically do?

Develop the Content of the SPIL cont'd. 2

- Who will provide the services?
 - Who is currently providing services?
 - Who can provide the identified services?
 - All providers must meet the Requirements in Sec. 704 (m)

Develop the Content of the SPIL cont'd. 3

- How will the Part B money be used?
 - How is Part B money currently being used?
 - Where will funding be needed to accomplish objectives?
 - How much funding will be needed for each objective and/or service?
 - What can you realistically do with the funding available?

Develop the Content of the SPIL cont'd. 4

- Statewide Network of CILs
 - What is the existing network of CILs?
 - How many CILs do you have?
 - Where are the current CILs located?
 - What are the service areas of the current CILs?
 - What are the unserved areas?
 - Where are new CILs and/or satellites needed?
 - What is the current capacity to establish a CIL/satellite in each identified area?
 - How much funding would be needed?

Develop the Content of the SPIL cont'd. 5

- Statewide Network of CILs
 - What is the minimum funding level needed for existing CILs?
 - What is the minimum funding level needed to start a new CIL?
 - Design the ideal network
 - Are current CILs' service areas realistic?
 - Prioritize where to put new CILs and/or satellites
 - Prioritize use of new funding if received
 - Outline how new funding will be distributed

Develop the Content of the SPIL cont'd. 6

- SILC Resource Plan
 - What amount is needed?
 - What funding is currently being used?
 - What can potential DSEs bring to the SILC Resource Plan?
 - If Part B funds are used:
 - Is 30% adequate?
 - If more than 30% is needed – how to justify in SPIL?
 - What additional sources of funding are available?

QUESTIONS???

Steps to Formulate the SPIL cont'd. 7

- First Full Draft of the SPIL
 - Identify who will take responsibility for merging all the work into SPIL format
 - Full team review the merged/draft SPIL to ensure all work and agreements are captured
 - This is the first full draft of the SPIL

Steps to Formulate the SPIL cont'd. 8

- Solicit Feedback
 - Determine format(s) for soliciting and accepting feedback/comments
 - Feedback from SPIL Team & their constituencies:
 - SILC members
 - CILs
 - Directors
 - Staff
 - Board members
 - Consumers
 - DSE

Steps to Formulate the SPIL cont'd. 9

- Solicit Feedback, cont'd.
 - Public Comments
 - Public meetings
 - On-line availability of draft SPIL
 - On-line format to submit comments
 - Other?
 - Provide notice to the public that comments are being accepted on the draft SPIL & provide a deadline

Steps to Formulate the SPIL cont'd. 10

- Finalize Draft
 - All comments received provided to the SPIL team
 - Team reviews and considers all comments received
 - Any revisions needed in response to comments are identified & made
 - Final draft provided to the SPIL team, SILC, CILs, and DSE

Steps to Formulate the SPIL cont'd. 11

- Process for CILs' Approval
 - Network of CILs identified in the SPIL included in process
 - Process for CILs to review the Final SPIL Draft
 - Process for CILs to indicate agreement and/or concerns

Steps to Formulate the SPIL cont'd. 12

- Process for SILC Approval
 - Final SPIL Draft provided to all SILC members
 - Draft discussed at a full SILC meeting
 - Voting SILC members vote whether to approve the draft
 - Voting SILC members authorize Chairperson to sign the SPIL on their behalf if it is approved

Steps to Formulate the SPIL cont'd. 13

- Process for Securing Signatures
 - SILC Chairperson
 - Representing the SILC
 - At the direction of the SILC
 - CIL Directors
 - At least 51% of the directors of the CILs in the network identified in the SPIL must sign
 - How many are allowed to sign?
 - Can all the CIL directors sign?
 - DSE Director

Steps to Formulate the SPIL cont'd. 14

- Submission of SPIL
 - Who will submit the SPIL to ACL?
 - What process will be used to submit the SPIL?
 - Who will have access to that process?

QUESTIONS???

Where West Virginia is in the Process

SPIIL Team Established

- 3 SILC members
- Former SILC Chairperson
- All CIL Directors
- DSU Liaison
- SILC Executive Director

Timeline Established

- SPIL Team Established – April 2015
- Public Forums Held – August/September 2015
- Begin Drafting SPIL Content – October 2015
- SPIL Draft to SPIL Partners – February 2016
- Public Meetings/Comment Period – March 2016
- Final SPIL Draft to Partners for Approval – May 2016
- Approval of SPIL – June 1, 2016
- Signatures Secured – June 15, 2016
- SPIL Submitted to ACL – June 22, 2016

Additional Progress Made

- Dates & Locations set for Public Forums to gather Input
- Review of Consumer Satisfaction/Needs information

New York SILC SPIL Development Timelines

NY SPIL Development Timeline

- (November 2014 – June 2015) Assess Impact of Current SPIL: Committees evaluate SPIL objectives. SPIL Evaluation report is drafted, reviewed by committee, and final report submitted to council.
 - Link to 2014 SPIL Evaluation report:
http://www.nysilc.org/images/2014_SPIL_Evaluation_Report_Final_5-28-15.docx.
- (February – October 2015) Convene a Needs Assessment Committee (NAC) to work on a key questions, respond with data and survey responses, and produce a draft and final report by October.
 - Link to 2012 Statewide Needs Assessment report:
http://www.nysilc.org/primary_source/FINAL-2012-NYSILC-Needs-Assessment-Report-10-11-12.pdf.

NY SPIL Development Timeline cont'd.

- (May – July 2015) SPIL Committee starts process to develop outreach materials to obtain public input which starts in September.
 - Materials: Public hearing notice, facilitation outline, CIL focus group packet, background material, and communications for distribution, posting and messaging.
- (September – October 2015) Receive public input on SPIL.
 - Methods: Public hearings, SPIL facilitated sessions at public events (conferences), CIL focus groups, written comments.
 - Facilitation outline utilized for all methods.

NY SPIL Development Timeline cont'd. 2

- (November 2015) Needs assessment report reviewed and accepted at full council meeting.
- (November – December 2015) SPIL Committee reviews public input and needs assessment priorities, identifies final priorities, objectives and funding.
- (December 2015 - January 2016) Key Elements of plan sent out for public comment. Changes made accordingly.

NY SPIL Development Timeline cont'd. 3

NEW STEPS CONSIDERING WIOA

- (January – February 2016) Draft SPIL
- (late February 2016) Review highlights of draft SPIL on webinar
- (March 2016) CIL Directors review and approve draft SPIL
- (April 2016) SILC review and approval of draft SPIL
- (April – May 2016) Technical review of draft SPIL

NY SPIL Development Timeline cont'd. 4

- (June 2016) Partners sign draft SPIL: SILC Chair signs on behalf of SILC, CIL Directors sign a form (TBD), and DSE signs and affirms administrative duties.
- (late June 2016) Signed SPIL transmitted to ACL by June 30th.
- (August – September 2016) Review draft SPIL with ACL. Possible negotiation & technical edits.
- (By October 2016) New SPIL Approved by ACL.

What Did NY Have to Add to or Revise the SPIL Formulation Process?

- Review of the draft SPIL with IL network partners and stakeholders via a webinar for general education and understanding
- A CIL Director voting process
- An arbitration process (if needed)
- Additional time and flexibility, along with an appeal for cooperation in an environment of change

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Evaluation

Please click the link below to complete an evaluation of this program:

<http://www.surveygizmo.com/s3/2247119/SILC-NET-Webinar-Evaluation-September-10-2015>

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